



Department of Defense DIRECTIVE

NUMBER 5111.8

March 22, 1995

DA&M

SUBJECT: Assistant Secretary of Defense for Strategy and Requirements
(ASD(S&R))

- References:
- (a) Title 10, United States Code
 - (b) DoD Directive 5111.8, "Assistant Secretary of Defense for Strategy, Requirements, and Resources (ASD(SR&R))," July 6, 1993 (hereby canceled)
 - (c) David L. Boren National Security Education Act of 1991, as amended, Title 50, United States Code, Section 1901-1910
 - (d) DoD 5025.1-M, "DoD Directives System Procedures," December 1990, authorized by DoD Directive 5025.1, June 24, 1994
 - (e) [DoD Directive 8910.1](#), "Management and Control of Information Requirements," June 11, 1993

1. REISSUANCE AND PURPOSE

Under the authority vested in the Secretary of Defense by Sections 113 and 138 of reference (a), this Directive reissues reference (b) to update the responsibilities, functions, relationships, and authorities of the ASD(S&R), as prescribed herein.

2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").

3. RESPONSIBILITIES AND FUNCTIONS

The Assistant Secretary of Defense for Strategy and Requirements is the principal staff assistant and advisor to the Under Secretary of Defense for Policy (USD(P)) and the Secretary of Defense on national security strategy and defense strategy; on U.S. participation in international and United Nations (UN) peace operations; and on the resources, forces, and contingency plans necessary to implement defense strategy. In this capacity, the ASD(S&R) shall:

3.1. Analyze and develop the national security strategy and defense strategy. Ensure their integration into the Department's resource allocation, force structure development, weapons system acquisition planning, and budgetary processes.

3.2. Lead the development of the Defense Planning Guidance (DPG). Ensure that DPG priorities and objectives are appropriately represented throughout the Planning, Programming, and Budgeting System and Defense Acquisition Board processes.

3.3. Develop the Contingency Planning Guidance (CPG). Review contingency plans, major force deployments, and military operational plans to advise the USD(P) in meeting his statutory requirement to integrate plans.

3.4. Evaluate the capability of forces to accomplish U.S. defense strategy. Develop alternative force structures and identify programs and initiatives needed to meet changing requirements and strategy.

3.5. Develop, coordinate, and oversee the implementation of policy, programs, positions, procedures, and plans related to the participation of U.S. Armed Forces and other DoD Components in UN and other international peace operations, including the development of policy related to creating, identifying, training, exercising, and committing military forces for such purposes.

3.6. Analyze the Military Departments' program and budget submissions to ensure they adequately support the DPG strategy and program guidance. Recommend specific programmatic initiatives where appropriate.

3.7. Monitor and provide policy input to the military requirements and Defense Acquisition Board processes for all programs of policy concern. Ensure linkage to the defense strategy and program guidance.

3.8. Prepare the strategy section of the Annual Report of the Secretary of Defense to the President and Congress and coordinate USD(P) input to and comments on the document. Provide coordinated strategy input to the Secretary and Deputy Secretary of Defense and USD(P) budget testimony to Congress. Serve as the lead office for coordinating DoD input to and comments on the President's National Security Strategy.

3.9. Develop planning assumptions for a range of theater conflicts and crises. Conduct force evaluations to help identify the appropriate U.S. military force posture to carry out military strategies. Identify critical tasks needed to carry out these strategies and assess the capability of current and programmed forces to perform these critical tasks.

3.10. Guide the Advance Planning Group within the Crisis Management System for effective crisis management.

3.11. Chair the National Security Education Board and administer the National Security Education Program to provide scholarships and fellowships to U.S. students and grants to U.S. institutions of higher education that improve the teaching and learning of subjects in the fields of foreign languages, area studies, and other international fields that will result in a better qualified pool of applicants to work in the Federal Government in national security positions, pursuant to the David L. Boren National Security Education Act of 1991 (reference (c)).

3.12. Represent the USD(P) and the Secretary of Defense in interagency deliberations and international negotiations dealing with assigned areas of responsibility.

3.13. Oversee the operations, meetings, and task forces of the Defense Policy Board (DPB) and, as appointed by the Secretary of Defense, serve as the DPB's Designated Federal Official.

3.14. Perform such other functions as the USD(P) or the Secretary of Defense may prescribe.

4. RELATIONSHIPS

4.1. In the performance of assigned functions and responsibilities, the ASD(S&R) shall serve under the authority, direction, and control of the USD(P), and shall:

4.1.1. Report directly to the USD(P).

4.1.2. Coordinate and exchange information with other OSD officials, heads of the DoD Components, and other Federal officials having collateral or related functions.

4.1.3. Use existing facilities and services of the Department of Defense and other Federal Agencies, when practicable, to avoid duplication and to achieve maximum efficiency and economy.

4.2. Other OSD officials and heads of DoD Components shall coordinate with the ASD(S&R) on all matters related to the responsibilities and functions cited in section 3., above.

5. AUTHORITIES

The ASD(S&R) is hereby delegated authority to:

5.1. Issue DoD Instructions, DoD Publications, and one-time directive-type memoranda, consistent with DoD 5025.1-M (reference (d)), that implement policy approved by the Secretary of Defense in assigned fields of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of those Departments. Instructions to Unified Combatant Commands shall be communicated through the Chairman of the Joint Chiefs of Staff.

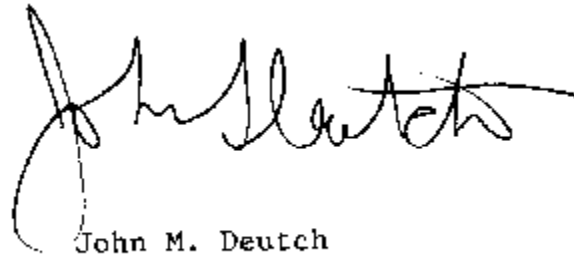
5.2. Obtain reports, information, advice, and assistance, consistent with DoD Directive 8910.1, (reference (e)), as necessary to carry out assigned functions.

5.3. Communicate directly with the heads of the DoD Components. Communications to the Commanders of Unified Combatant Commands shall be transmitted through the Chairman of the Joint Chiefs of Staff.

5.4. Communicate with other Government officials, representatives of the legislative branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned functions.

6. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink, appearing to read "John M. Deutch". The signature is fluid and cursive, with a large initial "J" and a long horizontal stroke at the end.

John M. Deutch
Deputy Secretary of Defense